

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Francescopaolo BORRIELLO and Hamid BAND
Serial No: 09/434,708
Filed: November 5, 1999
For: CHARACTERIZATION OF NOVEL GENE CBL-SL
Examiner: Not Yet Assigned
Art Unit: 1643



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 4th day of February, 2000.

E. R. Plumer
Elizabeth R. Plumer

Assistant Commissioner for Patents
Washington, D.C. 20231

FEB 10 2000

Sir and/or Madam:

TECH CENTER 1600/2300

Transmitted herewith for filing are the following documents:

- ☒ Statement Filed Pursuant to the Duty of Disclosure under 37 CFR §§1.56, 1.97 and 1.98
- ☒ Form PTO-1449
- ☒ References Cited
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No Check is enclosed as it is believed no fee is due. If this is incorrect and a fee is due, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By *E. R. Plumer*
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ATTORNEY'S DOCKET NO.: B0801/7159
DATE: February 4, 2000
Xndd

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ATTORNEY'S DOCKET NO: B0801/7159(ERP)

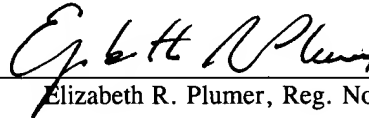
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Francescopaolo Borriello et al.
Serial No: 09/434,708
Filed: November 5, 1999
For: Characterization of Novel Gene CBL-SL
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Elizabeth R. Plumer, Reg. No. : 36,637

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II - Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application:

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

PART IV: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

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Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Francescopaolo Borriello et al., Applicant(s)

By: 

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Docket No. B0801/7159(ERP)

Dated: February 4, 2000

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